

1 Evidence for natural selection shaping the evolution of collective behavior
2 among global *Caenorhabditis elegans* populations

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33 Abstract

34

35 Animal behavior can diverge in natural populations in response to different environmental conditions,
36 but if and how natural selection also shapes the evolution of collective behavior in groups of animals
37 remains underexplored. With their cosmopolitan distribution and known collective behaviours, wild
38 populations of *Caenorhabditis elegans* provide a powerful system to address how collective behavior
39 could evolve across natural habitats on a global scale. We screened a panel of 196 genetically diverse
40 *C. elegans* strains sampled from around the world, conducting aggregation behavior experiments and
41 analysis to quantify natural variation among these populations. We found substantial variation in the
42 spatial magnitude and the temporal dynamics of aggregation across strains, which were significantly
43 explained by the elevation of the source habitats. Accounting for neutral evolutionary processes, our
44 maximum likelihood population effects (MLPE) models further support a role of selection on
45 aggregation. Furthermore, the two behavioral traits are highly heritable, and genome-wide association
46 studies (GWAS) revealed a quantitative trait locus (QTL) containing several candidate genes
47 associated with oxygen response and foraging behaviors. Our results showcase *C. elegans* aggregation
48 as a collective behavior that has diverged globally across elevational gradients, and support that
49 natural selection has shaped the evolution of this collective behavior.

50

51 Introduction

52

53 Collective behavior is pervasive in the animal kingdom, but how collective behavior of animal groups
54 evolves in nature remains elusive. Collective behavior arises from the interactions among multiple
55 individuals in a group; this intrinsic complexity has traditionally been difficult to capture and quantify.
56 However, rapid developments in behavior recordings and analytical techniques in recent years are
57 increasingly facilitating rigorous quantification of complex behaviors (1–6). Behavioral data thus
58 systematically captured and measured have enabled functional and mechanistic dissections of
59 collective behaviors in several animal taxa (7–17). Recently, an increasing number of comparative
60 studies reported natural variation in collective behaviors among different populations and species
61 (17–27); however, few studies have investigated the mechanisms driving the evolution of collective
62 behaviors among populations. Investigations of individual level behaviors have demonstrated that
63 natural selection can drive the divergence of various animal behaviors among natural populations in
64 response to different environmental conditions (28–35), but work on acorn ants and sticklebacks
65 suggest that individual and collective behavior may have different evolutionary patterns (17, 18, 36).
66 Does collective behavior also evolve under natural selection? Here we study how collective behavior
67 may evolve across natural habitats on a global scale and place patterns of phenotypic variation in an
68 environmental and genetic context using the nematode *Caenorhabditis elegans*.

69

70 *C. elegans* is a free-living bacterivore nematode species commonly found in decomposing organic
71 matter (37). Recent genomic analysis of wild strains isolated worldwide suggests that this species has
72 rapidly spread from its ancestral environments in the Hawaiian Pacific region out to the rest of the
73 world in the past 100–200 years (38, 39), thus raising the question of how *C. elegans* behavior might
74 have diverged in different environments around the globe. Neutral evolutionary processes, such as
75 genetic drift and gene flow, would be expected to strongly influence the evolution of *C. elegans* traits
76 given the species' recent colonization history and predominately selfing reproductive strategy
77 (38–41), but does natural selection also shape the evolution of collective behavior in this cosmopolitan
78 species? The boom-and-bust life cycle of *C. elegans* in nature (42) implies ecological relevance of
79 studying collective behavior in this species, as the animals experience rapid population expansion on
80 food sources where thousands of individuals could be found in the same resource patch (43–46);
81 subsequent resource exhaustion leads to population bust and dispersal to locate new resources, a
82 process that can also occur collectively (47, 48). Here, we focus on the natural variation of *C. elegans*
83 aggregation behavior on food to understand the evolution of collective behavior across global
84 environments in this species.

85

86 Worms feeding on a bacterial food patch together can aggregate into clusters. Past work has examined
87 this behavior in *C. elegans* and identified that variation in the *npr-1* gene underlies solitary versus
88 gregarious phenotypes in lab-domesticated strains (44). A subsequent body of work has focused on
89 understanding the molecular and cellular mechanisms (49–51), the algorithmic emergence (9), and the
90 potential fitness implication (52) of aggregation behavior by contrasting the canonically solitary lab
91 reference strain N2 with its gregarious counterpart, the *npr-1* mutant. However, behavioral variation
92 amongst wild-collected strains has not been well-characterized. Meanwhile, a study of wild
93 populations of another nematode species, *Pristionchus pacificus*, reveals a behavioral dichotomy
94 between aggregation and solitary behavior across a regional elevation threshold (53), further
95 prompting a detailed investigation of aggregation behavior among wild *C. elegans* populations. We
96 hypothesize that aggregation has evolved in *C. elegans* across different habitats around the world, and
97 that we can identify environmental and genetic correlates associated with this behavioural variation.

98
99 We conducted controlled behavior experiments in the lab to characterize aggregation behavior, first
100 for the two canonical laboratory strains, and then for an extended panel of 196 genetically diverse
101 wild strains isolated from around the globe. We developed sensitive quantitative representations for
102 the spatial magnitude and the temporal dynamics of aggregation behavior, which revealed ample
103 natural variation across populations. Accounting for phylogenetic structure among strains, we
104 assessed the association between the behavioral traits and environmental factors, and found habitat
105 elevation to be a strong predictor of both the magnitude and the dynamics of aggregation. We further
106 partitioned out the expected role of neutral evolution using maximum likelihood population effects
107 (MLPE) models to confirm an effect of selection on aggregation dynamics. Moreover, both behavioral
108 traits are highly heritable, and genome-wide association studies (GWAS) reveal a quantitative trait
109 locus (QTL) for aggregation dynamics that contains genes with known function in oxygen response
110 and foraging behavior in *C. elegans*. Taken together, our results reveal natural variation in aggregation
111 across *C. elegans* populations and identify its environmental and genetic correlates, suggesting that
112 this collective behavior has evolved in response to natural selection.

113

114 Results

115

116 Behavior assay and quantification effectively characterize aggregation behavior

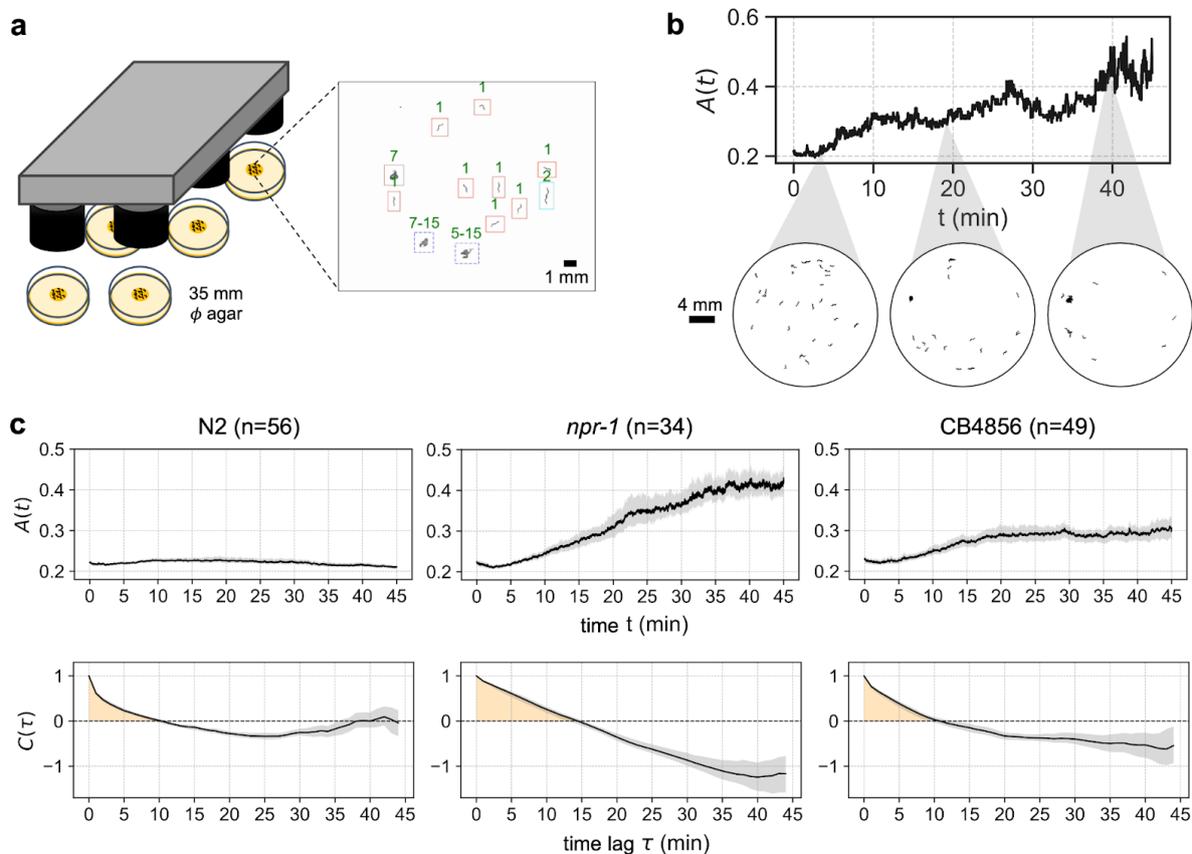
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118 We designed an aggregation behavior assay to experimentally capture variation in the collective
 119 behavior among different *C. elegans* strains: the two canonical laboratory strains N2 and *npr-1*, and
 120 one wild strain CB4856. We used forty age-matched individuals per assay to reduce inter-individual
 121 variation within the experiment and conducted 45-minute recordings on agar plates (\varnothing 35 mm) seeded
 122 with an OP50 bacterial patch (Fig 1a).

123

124 For behavior analysis, discrete object 'blobs' were segmented from the background using an adaptive
 125 local threshold. Single worms and multi-worm clusters were distinguished through a graph-based
 126 tracking algorithm that connected detected objects across consecutive frames and integrated
 127 morphological features with trajectory characteristics (see Methods). Cluster sizes were estimated
 128 using an iterative propagation method that exploited conservation constraints at splitting and merging
 129 events (see Methods). The resulting cluster size distributions from every frame were used to quantify
 130 the state of aggregation on a continuous scale over time.

131



132

133 **Figure 1: *C. elegans* aggregation behavior assay and quantification.** a. Behavior assay to capture variation in aggregation
 134 behavior. The center of an agar plate was seeded with 75 μ L of OP50 bacteria to form a circular patch. Forty worms were
 135 placed in the arena and recorded for 45 minutes using a multi-camera array. The image on the right depicts cluster size
 136 estimates from a sample frame. b. Sample aggregation time series from a recording of the *npr-1* strain. Top panel shows the
 137 magnitude of aggregation, defined as the inverse spatial entropy $A(t)$; bottom panel shows snap shots of worm spatial
 138 distribution at given time points. c. Top panels show aggregation time series of the three representative strains: N2 is the
 139 solitary lab reference strain, *npr-1* is the gregarious knock-out mutant strain, and CB4856 is a gregarious wild strain. Bottom
 140 panels show the autocorrelation function of the corresponding $A(t)$, to reveal differences in the temporal dynamics of
 141 aggregation behavior among the three strains. Yellow shadings depict the areas quantified as temporal persistence τ_A .
 142 CB4856 exhibits intermediate characteristics in both aggregation magnitude and dynamics compared to N2 and *npr-1*.

143

144 Previous aggregation metrics such as the percentage of animals inside groups (44, 49–53) fail to
145 capture spatial and temporal details to reveal natural variation amongst selected wild strains used in
146 those studies; a recent improvement has been made for time-independent spatial distribution of
147 individuals (9), but still ignores the temporal aspect of this dynamic collective behavior. To faithfully
148 capture the fine behavioral variation and rich dynamics, we defined an aggregation metric that can be
149 calculated on a per-frame basis: the inverse spatial entropy of the distribution of cluster size, $A(t)$. If
150 worms are tightly aggregated, the spatial distribution of worms deviates greatly from uniform
151 distribution, hence the total entropy decreases and $A(t)$ is high (Fig 1b). For the dynamics of
152 aggregation behavior, we assessed the autocorrelation function $C(\tau)$ of the aggregation time series. If
153 aggregation persisted more stably over time, the period and the timescale of the behavior was longer
154 and the decay of the autocorrelation function was slower (Fig 1c).

155

156 We first assessed our behavioral metrics on the two laboratory strains with well-characterized
157 aggregation behavior: N2 is the lab-domesticated reference strain known for its solitary behavior on
158 food whereas *npr-1* mutants exhibit strong aggregation under the same conditions (44, 49–52). Indeed,
159 $A(t)$ remains very low throughout the recording duration for N2, with a short behavior timescale,
160 while the *npr-1* mutant strain shows a drastic increase in aggregation magnitude over time and a
161 longer behavior timescale (Fig 1c). We compared these results to a wild strain CB4856, commonly
162 reported to be gregarious (44, 52). As expected, CB4856 worms show a high aggregation magnitude
163 and a long behavior timescale, but with reduced values compared to the *npr-1* mutants (Fig 1c). Our
164 quantification thus successfully captures the complex dynamics of *C. elegans* collective behavior in
165 both domesticated and wild strains, and reveals fine differences between the two gregarious strains.

166

167 **Behavior quantification reveals natural variation in the aggregation behavior among wild** 168 **populations**

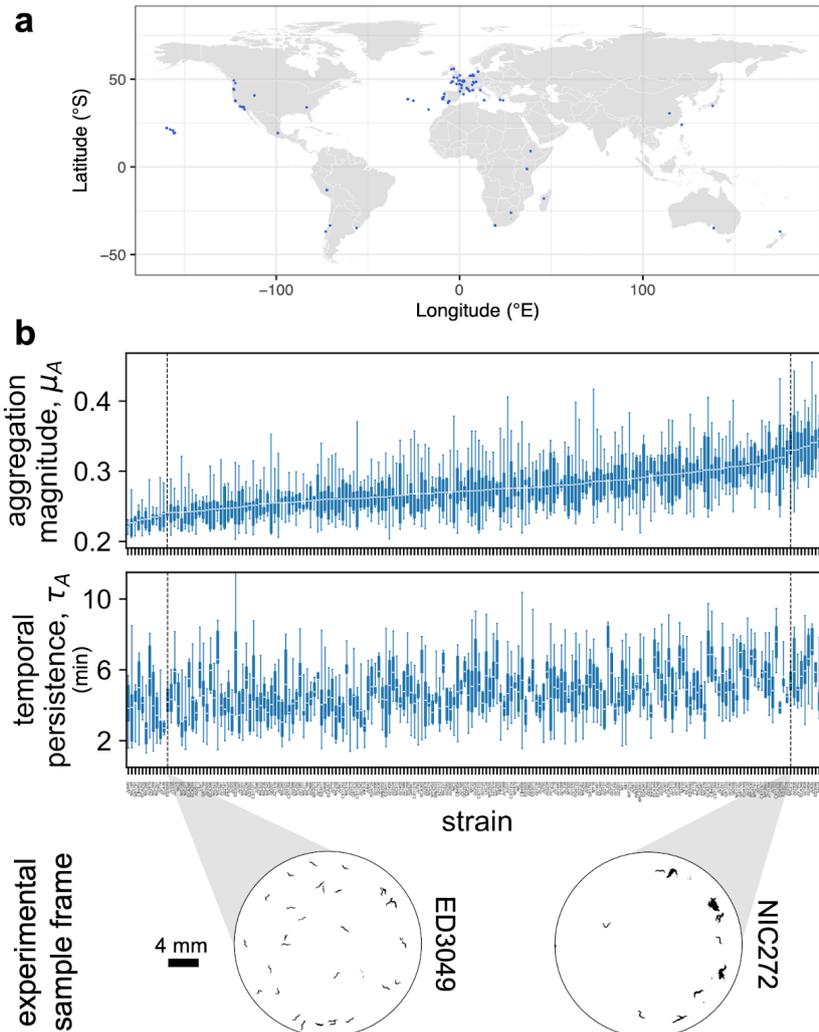
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170 We hypothesized that aggregation has evolved across global habitats, and therefore predicted to find
171 natural variation in the behavior. To widely sample the collective behavior of wild *C. elegans*
172 populations, we applied the assay developed above to a set of 196 strains from *Caenorhabditis* Natural
173 Diversity Resource (CaenNDR). The strains were collected from various natural habitats around the
174 globe (Fig 2a) and are genetically diverse (54). The highest sampling efforts were in Europe (125
175 strains) and North America (34 strains), followed by Oceania (15 strains), Africa (9 strains), South
176 America (5 strains) and Asia (3 strains), with the remaining 5 strains missing GPS information from
177 the database (see Methods).

178

179 We found substantial phenotypic variation across the global panel of 196 wild strains in both
180 aggregation magnitude and dynamics (Fig S2, Fig S3). To summarize the aggregation time series and
181 the autocorrelation function of each strain, we extracted one scalar value from each function to obtain
182 time averaged magnitude μ_A and temporal persistence τ_A for every experiment per strain (see
183 Methods). Higher mean magnitude signifies a strain with more clustered behavior, and higher
184 temporal persistence indicates a strain with higher behavior stability over time. We confirmed natural
185 variation in both aggregation magnitude and temporal persistence in our global strain set (Fig 2b). Our
186 two metrics thus effectively compress high dimensional behavior data into two concise trait values
187 whilst maintaining the sensitivity to capture natural variation in aggregation, and support that this
188 collective behavior has diverged among wild *C. elegans* strains.

189



190

191 **Figure 2: Natural variation in aggregation phenotypes.** a. Geographical location of the isolation sites of the 196 wild *C.*
192 *elegans* populations. b. Aggregation metrics. Top panel shows time averaged aggregation magnitude μ_A quantified for each
193 strain, organized in ascending order of the strain mean value. Middle panel shows temporal persistence τ_A quantified for
194 each strain, organized in the corresponding order to the top panel. The boxplots display the mean and the interquartile range
195 with minima and maxima of the experimental replicates per strain. Sample size of each strain can be found in Fig S2 and Fig
196 S3. Bottom panel depicts sample aggregation behavior of strains on the lower and higher end of the population range for
197 aggregation magnitude. A representative frame was chosen at around 40 minutes into the recording for both strains.

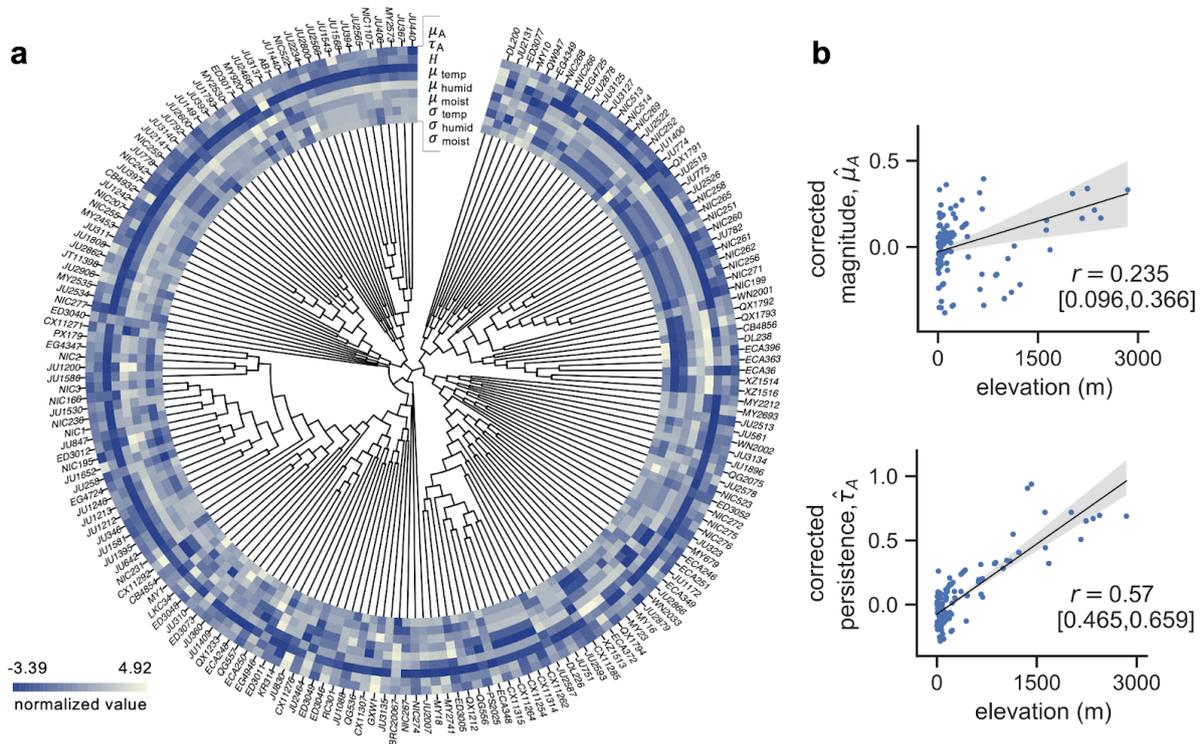
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199 **Variation in the aggregation traits is explained by elevational gradient**

200

201 What is the evolutionary mechanism behind the observed global divergence in *C. elegans* aggregation
202 behavior? To test whether environmental factors could be responsible for driving the evolution of this
203 collective behavior via natural selection, we assessed the association between the aggregation traits
204 and four categories of environmental variables: elevation, near-surface temperature, near-surface
205 relative humidity, and moisture of the soil upper column (Fig 3a, S1). Ambient oxygen levels are
206 known to affect aggregation behavior in domesticated *C. elegans* and in wild *P. pacificus*, where
207 worms with a preference for low oxygen cluster more when ambient oxygen is high (49, 50, 53, 55,
208 56). Therefore we hypothesize that elevation, negatively correlated with atmospheric oxygen partial
209 pressures (53, 57, 58), may also predict aggregation behavior in wild *C. elegans* populations. We
210 chose the three additional environmental variables to reflect general characteristics of near-surface
211 terrestrial habitats for *C. elegans*, in line with previous work that sought to identify correlations
212 between genetic and climate variations in wild populations (55). Mean and standard deviation of the
213 latter three variables were extracted over a 15-year period to represent the average and the fluctuation

214 levels respectively (Fig 3a, S1), giving a total of seven environmental predictors for subsequent
 215 environment-phenotype association analysis (Fig 3a).
 216



217
 218 **Figure 3: Environmental correlates of the natural variation in aggregation behavior.** a. Phylogenetic tree and heatmap
 219 of the two aggregation traits (μ_A , τ_A) and the seven environmental predictors: elevation (H) and the mean and standard
 220 deviation of near-surface temperature (μ_{temp} , σ_{temp}), near-surface humidity (μ_{humid} , σ_{humid}) and upper column soil moisture
 221 (μ_{moist} , σ_{moist}). Each variable is z-score normalized. Association between the aggregation traits and the environmental
 222 variables was computed using the phylogenetic GLS model to account for the genetic structure among the wild strains. b.
 223 PGLS reports a significant effect of elevation on both aggregation traits. Top plot shows the correlation between elevation
 224 and mean aggregation magnitude; bottom plot shows the correlation between elevation and temporal persistence. Shaded
 225 areas depict 95% confidence intervals of the regression lines.

226
 227 Our extended strain panel may contain hierarchical structure based on the phylogenetic relatedness
 228 among strains, leading to correlations between phylogeny and phenotype (59). Correcting for such
 229 hierarchical genetic structure, we used a Phylogenetic Generalised Least Squares (PGLS) model to
 230 assess the associations between the behavior traits and the environmental predictors: μ_A or τ_A is the
 231 response variable, $H_{elevation}$, μ_{temp} , μ_{humid} , μ_{moist} , σ_{temp} , σ_{humid} , σ_{moist} are the predictors, and
 232 phylogeny is considered as a random effect (see Methods). Phylogenetically corrected behavior
 233 phenotype was computed as: $\hat{y} = X\hat{\beta}$, which assumes \hat{y} as the projection onto a space of
 234 environmental predictors in the absence of genetic random effects. Model selection was also
 235 performed to determine a set of environmental predictors that can best explain the behavioral
 236 variation. Akaike Information Criterion (AIC) was used as the score of model fit to compare between
 237 the models with different subsets of environmental predictors.

238
 239 PGLS reported a positive and significant relationship between elevation and aggregation magnitude (
 240 $\hat{\mu}_A$; $t = 2.355$, $p = 0.01$; Table S2; Spearman's $r = 0.235$ (CI: [0.096, 0.366]); Fig 3b top), and between
 241 elevation and temporal persistence ($\hat{\tau}_A$; $t = 3.763$, $p = 0.0002$; Table S4; Spearman's $r = 0.57$ (CI:
 242 [0.465, 0.659]); Fig 3b bottom). This indicates that the strains from higher elevations exhibit higher
 243 aggregation magnitude and longer temporal persistence in our behavior assay. Interestingly, the best

244 fit models of both aggregation traits frequently included the standard deviation of temperature as an
245 environmental predictor alongside elevation, even though no significant effect was reported in PGLS
246 for σ_{temp} alone (Table S3, Table S5). We assessed multicollinearity among the predictors and found
247 that elevation was negatively correlated with the standard deviation of temperature (Pearson's $r =$
248 -0.487) (Table S6), indicating that as the elevation of the habitat increases, temperature fluctuations
249 would generally decrease. Our results show that natural variation in the aggregation traits of our
250 global strain set are significantly explained by a positive association with elevation and possibly by a
251 negative association with temperature fluctuations in their natural habitats. The identification of a
252 strong environmental predictor for aggregation suggests that the behavior may be under natural
253 selection in wild *C. elegans* populations.

254

255 Due to the recent global spread of *C. elegans* and strong selective sweeps detected across much of the
256 genome, genetic drift (due to their predominately selfing reproductive mode and founder effects) and
257 gene flow (through human mediated dispersal) play a major role in shaping the evolution of this
258 species (38, 39). As a means to disentangle the relative role of natural selection and neutral evolution
259 (genetic drift and gene flow) in shaping the evolution of collective behaviors among populations, we
260 used MLPE models to explicitly test the effects of genetics, geography, and elevation on behavioral
261 divergence among sampled strains. If divergence in collective behaviors originates due to natural
262 selection from elevational differences between sites, pairwise behavioral distances between strains
263 should be correlated with pairwise environmental differences between collection sites (60, 61).
264 Divergence in collective behavior stemming from neutral evolution should be evident from
265 correlations between pairwise genetic and behavioral differences, so that more genetically similar
266 strains are also behaviorally more similar, provided that genetic distances are not strongly influenced
267 by environmental differences between sites (60, 61). We first confirmed that pairwise genetic distance
268 between strains was best explained by the geographic distance between sampling locations ($\beta = 0.02$
269 ± 0.00 , $t = 38.76$, $p < 0.001$; Table S8), and was not associated with differences in elevation between
270 sites, indicating that geographically closer populations are genetically more similar, and that gene flow
271 is not inhibited between populations from different elevations. We then tested for correlations between
272 each aggregation trait and neutral genetic variation, geographic distance, and elevation, using model
273 selection based on AICc to identify the combination of factors that best explained variation in each
274 phenotype. The best fit model explaining variation in temporal persistence among strains included
275 significant effects of both elevation ($\beta = 0.06 \pm 0.01$, $t = 6.91$, $p < 0.001$; Table S9) and neutral genetic
276 variation ($\beta = 1.19 \pm 0.50$, $t = 2.38$, $p = 0.017$), providing further evidence that this collective behavior
277 has evolved in response to natural selection from elevation, while also confirming the expected strong
278 role of neutral evolutionary processes. On the other hand, variation in aggregation magnitude was best
279 explained by a model that only included neutral genetic variation ($\beta = 2.12 \pm 0.50$, $t = 4.34$, $p < 0.001$;
280 ; Table S9). Taken together, these results isolate the expected effects of neutral evolutionary
281 mechanisms on collective behavior trait variation to highlight additional support that aggregation
282 temporal persistence has potentially evolved among *C. elegans* strains in response to natural selection
283 from elevational differences experienced by different populations.

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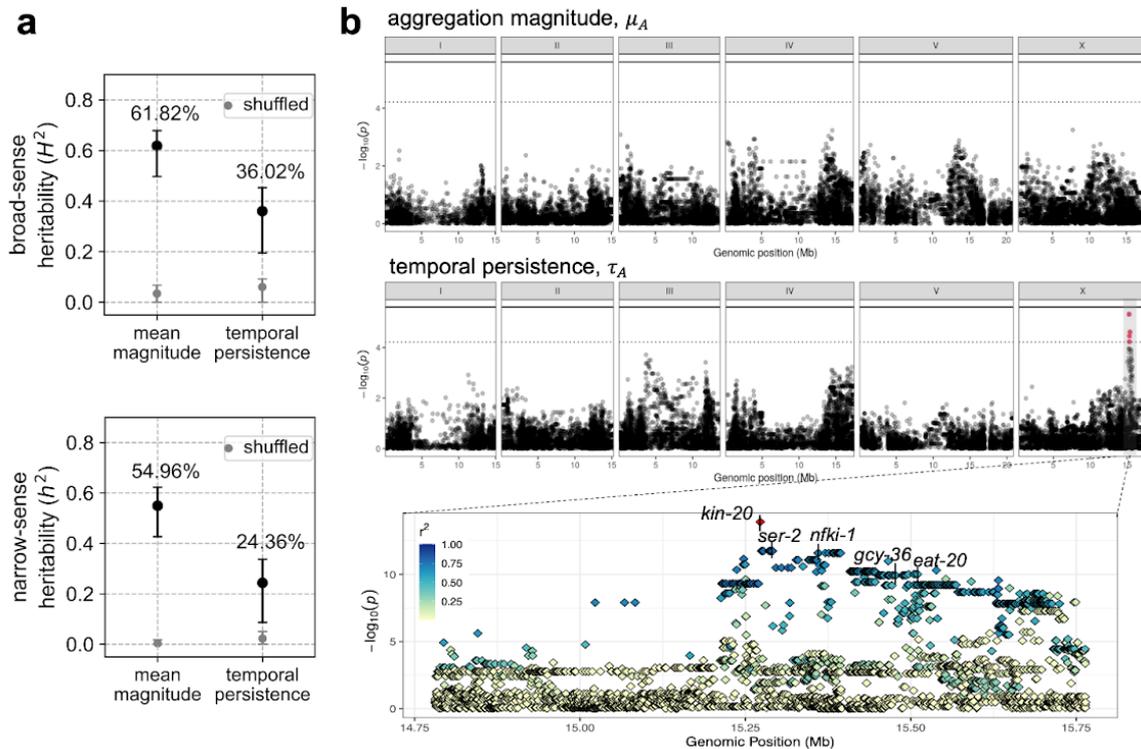
285 **Aggregation traits are heritable with a complex genetic basis**

286

287 Next, we evaluated if aggregation behavior in *C. elegans* has the potential to respond to natural
288 selection through genetic mediation. First, we tested how heritable the aggregation traits are by
289 estimating the genetic variance from total behavioral variance using heritability calculations from
290 CaENDR. Using a linear mixed model to account for among-strain variation, we found that
291 broad-sense heritability explains 61.82% (CI: [49.70%, 67.85%]) of the variation in mean aggregation
292 magnitude and 36.02% (CI [19.50%, 45.31%]) of the variation in temporal persistence (Fig 4a top).
293 Narrow-sense heritability was estimated by including the additive genetic information using the
294 covariance of genotypes among strains, demonstrating that 54.96% (CI [42.69%, 62.28%]) of the
295 variation in mean magnitude and 24.36% (CI [8.66%, 33.71%]) of the variation in temporal
296 persistence are explained by the additive genetic effect (Fig 4b bottom). These heritability scores are

297 greater than the average narrow-sense heritability of behavior traits (23.50%) (62), and suggest that
298 natural variation in *C. elegans* aggregation has a genetic basis.

299



300

301 **Figure 4: Genetic basis of the natural variation in aggregation behavior.** a. Heritability of the aggregation traits.

302 Broad-sense heritability assumes strains are independent, whereas narrow-sense considers the strains' genetic covariances.

303 Error bars denote 95% confidence interval of bootstrapped heritability. Grey points are control estimates for heritability

304 generated by randomly shuffling across the strains. b. Top panel is the Manhattan plot for aggregation magnitude showing

305 associations between genome-wide SNPs and the temporal persistence of aggregation. Middle panel is the Manhattan plot

306 for temporal persistence. A QTL on chromosome X containing significant association is highlighted in red. The bottom

307 panel zooms into the fine-mapping of that QTL. Colors depict r^2 values, which denote the linkage of individual SNPs to the

308 peak marker in red. Five candidate genes potentially associated with aggregation are labeled.

309

310 To further evaluate which genetic loci could underlie the observed behavioral variation, we performed

311 GWAS where the associations between a phenotype and single nucleotide polymorphisms (SNPs) are

312 assessed across the genome (63). GWAS mapping identified no genomic region significantly

313 associated with aggregation magnitude (Fig 4b top, Fig S4). This lack of association could be simply

314 due to a lack of statistical power arising from complex population structure found in selfing species

315 (64), or to other reasons such as the polygenic nature of most complex traits precluding the

316 identification of a single locus with a large effect, such as the case for human height (65, 66). GWAS

317 for temporal persistence, on the other hand, reported a QTL on the right arm of chromosome X for this

318 trait (Fig 4b top, Fig S6) across two mapping algorithms (see Methods). This QTL accounts for

319 39.58% of the additive genetic variance underlying this trait variation. Subsequent fine mapping

320 within this QTL revealed a genomic region of around 430 kb in high linkage disequilibrium with the

321 peak marker (Fig 4b bottom). There are eight non-coding regulatory RNAs and 25 protein-coding

322 genes within this region, most of which function in basic cellular and developmental processes.

323 Among them, we identified five candidate genes that could potentially modulate the temporal

324 dynamics of *C. elegans* aggregation (Fig 4b bottom): *nfki-1* and *gcy-36* have been shown to affect

325 aggregation behavior via oxygen response (67, 68), *kin-20* is a known regulator of rhythmic activity

326 (69), and *ser-2* and *eat-20* modulate pharyngeal pumping and foraging behavior in *C. elegans* (70, 71).

327 The linkage amongst these candidate genes suggests that the variation of aggregation temporal

328 dynamics among the wild *C. elegans* strains may be genetically mediated via one or a combination of

329 these genes. Interestingly, despite the strong causal effect of *npr-1* mutations on the aggregation

330 phenotype in domesticated strains (44, 49–52), our GWAS results suggest that known natural variation
331 in this gene has little effect on phenotypic variation in wild *C. elegans* populations.

332

333 Discussion

334

335 We present *C. elegans* aggregation as a study system to address how collective behavior may evolve
336 across natural habitats on a global scale. We screened a panel of 196 wild *C. elegans* strains sampled
337 from around the world, and performed behavior analyses to reveal substantial natural variation in the
338 mean magnitude and the temporal persistence of their aggregation behavior. We found that the
339 elevation of the strain isolation sites predicts the observed behavioral variation, suggesting that
340 elevation may impose selection pressure to drive this behavioral divergence. Moreover, both
341 aggregation traits are highly heritable, and GWAS for temporal persistence revealed a QTL that may
342 underlie phenotypic variation in this trait. Our results support that aggregation is a heritable collective
343 behavior which was potentially under selection by local elevational conditions across diverse habitats.
344

345 A key development of our study is the experimental and analytical methods to effectively expose and
346 measure natural variation in *C. elegans* aggregation behavior. Most previous studies of *C. elegans*
347 aggregation treat it as a static and binary phenotype (44, 49–53). While semi-quantitative
348 measurements were sufficient to show that wild *P. pacificus* populations have a clear binary
349 divergence between solitary or aggregating phenotypes across an elevation threshold (53), the case
350 with wild *C. elegans* is much less obvious and requires rigorous behavioral quantifications to reveal
351 more subtle natural variation. Capturing such behavioral divergence allowed us to further examine the
352 environmental and genetic associations to the behavior and make evolutionary inferences about *C.*
353 *elegans* collective behavior. Furthermore, a classic challenge for associating environmental and
354 behavioural variation is that the environment can affect behaviour through both direct and indirect
355 effects. For instance, temperature directly affects *C. elegans* speed and the wavelength of undulation
356 (74), so that if aggregation were measured in the natural habitats at different temperatures, behavioral
357 variation can be attributed to the direct temperature effect, confounding strain-level differences that
358 may have evolved in different environments. Our controlled laboratory experiments with global
359 populations thus dissociate the direct environmental effect on behavior and enable a clear
360 interpretation of genetically mediated evolutionary effect.
361

362 Our results indicate that elevation is a strong environmental predictor of *C. elegans* aggregation
363 behavior on a global scale. A similar pattern was found in the collective behavior of *P. pacificus* on La
364 Réunion island, where strains from high elevations show increased aggregation and vice versa. While
365 the case with *P. pacificus* applies to a single clade, higher aggregation in our *C. elegans* dataset
366 appears to have evolved multiple times across genetically distinct strains from higher elevations (Fig
367 3a). This suggests that elevational behavioral divergence has evolved several times in the nematode
368 phylum (53), and points to a potential adaptive value of this behavior across different environments
369 (73). The question remains of how elevation may drive the divergence of aggregation behavior. A
370 leading hypothesis is differential physiological response in populations that have adapted to various
371 ambient oxygen levels across elevations. A body of work in *C. elegans* suggests oxygen response as
372 the main cause of aggregation in *npr-1* mutants, where the animals prefer low oxygen concentrations
373 and cluster together to locally reduce O₂ levels under the hyperoxic laboratory condition of 21%
374 oxygen (44, 49–51). Here we showed that in wild populations, strains with higher aggregation in the
375 lab indeed come from high elevation source habitats where atmospheric oxygen partial pressures are
376 low. This is consistent with clustering for hyperoxic stress avoidance in these populations that have
377 adapted to lower oxygen levels. Two genes identified from our candidate QTL, *nfski-1* and *gcy-36*, are
378 known to modulate aggregation through oxygen sensory pathways (67, 68), also supporting that
379 differential physiological responses may play a role in aggregation behavioral variation in wild *C.*
380 *elegans* populations.
381

382 An alternative but not mutually exclusive hypothesis to the hyperoxia avoidance hypothesis is
383 foraging. It has been suggested that lower oxygen concentrations may indicate actively proliferating
384 and metabolizing bacterial sources for *C. elegans* to feed on (49, 50, 74). The presence of *ser-2* and
385 *eat-20*, shown to regulate pharyngeal pumping and foraging, in our QTL further supports that foraging
386 behavior in different resource environments could play a role in shaping aggregation phenotypes (70,

387 71) in wild *C. elegans*. Moreover, besides ambient oxygen level, elevation also correlates with other
388 environmental conditions such as temperature fluctuations and microbial stability and composition
389 (75–78), all of which may affect foraging behavior in natural *C. elegans* populations (79–81). Altered
390 foraging behavior can plausibly influence aggregation: since animals in resource abundant
391 environments are more likely to stay in their local search mode (81, 82), this could promote overall
392 proximity between individuals and increase the probability of interaction and group formation.
393 Therefore, the association between aggregation behavior and habitat elevation could potentially be
394 explained by physiological hyperoxia avoidance, by a complex foraging-related response, by other
395 abiotic and biotic factors with elevational variation that we have not yet considered (83), or by some
396 combination of the above. Further work testing the physiology and the foraging hypotheses, such as
397 by assessing the potential costs of being in hyperoxic environments and by examining aggregation
398 dynamics under ‘native’ oxygen concentration in various food conditions, would help to pinpoint what
399 is under selection and what is the potential adaptive value of this behavioral variation.

400

401 Additionally, the speculated role of hyperoxia avoidance and foraging behavior on emergent
402 aggregation implies that variation in collective phenotypes may derive from the evolution of
403 individual-level behavior. However, interactions between individuals often play an important role in
404 shaping collective behavior (84) and could also be subjected to evolution. Future studies scrutinizing
405 the individuals’ oxygen gradient response and foraging states as well as their interactions inside the
406 aggregating group, in a comparative context across different wild populations, could help disentangle
407 individual level versus inter-individual level mechanisms in the evolution of *C. elegans* collective
408 behavior.

409

410 In summary, our study reveals natural variation in a collective behavior across global populations of
411 *C. elegans* and identifies its environmental and genetic correlates, suggesting natural selection has
412 shaped the evolution of this collective behavior. With this new evidence of collective behavior
413 evolution, a collection of geo-referenced wild strains showing quantitative genetic and behavioral
414 variation, and empirical possibilities for vigorous downstream validation, aggregation behavior in *C.*
415 *elegans* serves as a powerful system for future studies to reveal the evolutionary dynamics of
416 collective behavior.

417

418 **Methods**

419

420 ***C. elegans* strains**

421 The *npr-1(ad609)* knock-out mutant strain was obtained from the *Caenorhabditis* Genetics Center
422 (CGC). The N2 laboratory reference strain and the panel of 196 wild strains of *C. elegans* were
423 obtained from CaenDR (54), with the list of wild strains in Table S7. All animals were regularly
424 cultured and maintained on nematode growth media (NGM) plates using standard protocol (85), and
425 fed *E. coli* OP50 as worm food. *E. coli* OP50 was obtained from the CGC and was cultured in Luria
426 Broth using standard liquid culture protocol (86).

427

428 **Behavioral assay and video acquisition**

429 Animals for the behavioral assay were prepared as synchronized Day-1 adults using standard
430 bleaching protocol (87). 35 mm plates pre-filled with low-peptone NGM (88) were used for imaging,
431 where the center of each plate was seeded with 75 μ L of diluted OP50. A master batch of imaging
432 OP50 was made for the entire dataset collection by diluting an overnight liquid culture 1:10 in M9 to a
433 final concentration of $OD_{600} = 0.384$; aliquots from this were stored at 4 °C until they were used to
434 freshly seed the imaging plates on the day of the experiment. The entire food patch was within the
435 field of view of each camera. Synchronized worms were collected from culture plates and washed
436 twice in M9, and 40 individuals were transferred to the agar surface off food using a glass pipette.
437 After M9 has dried, gentle vortexing was applied at 600 rpm (Vortex Genie 2 shaker, Scientific
438 Industries) for 10 seconds to randomize initial positions and synchronize the aggregation state across
439 replicates and strains. Imaging was performed immediately afterwards for 45 minutes at 25 Hz, with a
440 custom-built six-camera array (Dalsa Genie Camera, G2-GM10-T2041) under red illumination (630
441 nm LED illumination, CCS Inc), maintaining 20 °C throughout the experiment. Six independent
442 experiments were run simultaneously and strain identity, camera position, and recording session
443 assignments were randomized across experiments. Gecko software (v2.0.3.1) drove simultaneous data
444 acquisition from the six cameras. At least five independent experimental replicates were performed for
445 each strain, with N2, *npr-1*, CB4856 strains exceeding the number due to extra recordings taken as
446 internal controls per day for every session. Experiments were inspected during and after data
447 collection; experiments with puncture or debris in the agar were excluded from the dataset.

448

449 **Video processing and cluster size estimation**

450 For post-acquisition video analysis, a custom algorithm was developed to estimate multi-worm cluster
451 sizes from processed video recordings. Following background subtraction, images were binarized to
452 detect discrete objects (blobs). Videos were acquired at 25 frames per second, with every third frame
453 sampled for analysis (effective rate: 8.33 Hz). The approach operates on a graph-based representation
454 where detected objects (blobs) in each frame serve as nodes, connected across consecutive frames
455 based on minimal inter-component pixel distances. Single worms were identified through an iterative
456 classification process that integrates morphological features (object area relative to dynamically
457 computed thresholds) and trajectory spatial extent (the area of the polygon encompassing all positions
458 along each object's trajectory). This approach exploits the greater mobility of single worms, which
459 exhibit larger trajectory areas compared to multi-worm clusters. Groups identified as singles based on
460 these criteria—specifically those where a majority of trajectory frames fell below the area threshold
461 and exhibited low in-degree and out-degree in the temporal graph—were assigned a size of one worm.
462 For multi-worm clusters, size estimation proceeded through an iterative propagation algorithm
463 operating on the temporal graph structure. At each iteration, the method identifies local subgraphs
464 where cluster sizes can be uniquely determined from conservation constraints: when clusters split or
465 merge between frames, and all but one resulting group size is known, the unknown size is computed
466 from the difference in total worm counts. This process continues until convergence or a maximum
467 iteration limit is reached. For clusters where size remains ambiguous after convergence, the mean of
468 the minimum and maximum possible sizes was assigned. It should be noted that for large, frequently
469 splitting and merging clusters, this approach may introduce upward bias in size estimates. Videos
470 exhibiting tracking irregularities that produced non-continuous time series were excluded from
471 downstream analyses.

472

473 Aggregation trait measurements

474 To estimate the magnitude of aggregation at each time t , the inverse of the spatial entropy of the
475 distribution of worms in each frame was computed. Cluster identities were treated as discrete bins
476 whose probabilities were given by the estimated number of worms in each cluster divided by the total
477 number of worms (n):

$$478 \quad A(t) = - \frac{1}{\sum_i P(X_i) \log P(X_i)}$$

479 where $P(X_i) = \frac{\text{cluster size}}{n}$ for cluster i at a given frame.

480

481 From the time series $A(t)$, $t \in \{\delta t, \dots, T\delta t\}$ obtained from each trial, where δt is the inverse frame rate,
482 we estimated mean magnitude as the average of the time series,

$$483 \quad \mu_A = \langle A(t) \rangle = \frac{1}{T} \int A(t) dt.$$

484 To capture the temporal dynamics of the time series $A(t)$, the normalized autocorrelation function was
485 measured,

$$486 \quad C(\tau) = \frac{\langle (A(t) - \mu_A)(A(t+\tau) - \mu_A) \rangle}{\langle (A(t) - \mu_A)^2 \rangle}.$$

487 The complex nature of the correlation functions, which deviate from simple exponential decay (likely
488 due to finite-size effects), challenges the inference of decay times for each trial (89, 90). To
489 nonetheless capture the strength of temporal correlations, we define an overall measure of temporal
490 persistence of the aggregation dynamics, τ_A , as the maximum of the cumulative sum of the
491 correlation function,

$$492 \quad \tau_A = \max_{0 \leq t \leq T} \int_0^t C(\tau) d\tau.$$

493 This measure is analogous to the integral timescale used in the studies of fluids and turbulence, which
494 assesses the overall temporal memory of the dynamics (91). To account for the imbalance in sample
495 size between CB4856 and other wild strains for the environmental and genetic analyses, bootstrapping
496 of μ_A and τ_A values across replicates was performed to achieve an equal final number of five samples
497 per strain.

498

499 Environmental data

500 Using the GPS coordinates of the isolation sites of each wild strain, four environmental variables for
501 the PGLS analysis were obtained from two public databases. Elevation data was obtained from the
502 [OpenElevation](https://open-elevation.com) API (<https://open-elevation.com>). Climate variable data including near-surface
503 temperature, humidity, and upper column soil moisture were obtained from the CMIP6 global climate
504 model (92, 93) of the Copernicus Climate Change Service (C3S) Climate Data Store (DOI:
505 [10.24381/cds.c866074c](https://doi.org/10.24381/cds.c866074c)); specific model details are in Table S1 (see Data availability). Monthly data
506 from years 2000-2014 was obtained to cover the relevant isolation period for all of our wild strains
507 except CB4856, which was collected in 1972 before the CMIP6 climate model data began. Given the
508 periodicity of the climate variables and the simplicity of the measurements (mean and standard
509 deviation), we used the 2000-2014 data to approximate 1972 for this one strain. Each climate variable
510 was interpolated into spatial resolution of 1.4° latitude and longitude spanning the entire globe.
511 Isolation sites of the wild strains were matched to geographical coordinates with less than 0.7° error in
512 both latitude and longitude. Out of the 196 wild strains, five strains (CB4852, ECA252, ECA259,
513 LSJ1, PB303) missing the GPS coordinates and one (JU2001) missing the climate information from
514 the CMIP6 database were excluded from the analysis.

515

516 Generalised Least Squares estimation of aggregation traits

517 In comparative evolutionary biology assessing phenotypes between populations, a generalised linear
518 model (GLM) is used to account for phylogenetic relationship to avoid the assumption of data
519 independence and overestimation of statistical significance (94) (95, 96). Therefore, a linear mixed
520 model with phylogenetic inter-strain covariance as the random effect was used to estimate the true
521 effects of predictors for our wild population behavioral phenotypes. The linear mixed model is:

522

$$y = X\beta + \varepsilon, \text{ where}$$

523

$$y = \mu_A \text{ or } \tau_A,$$

524

$$X = [\mu_{temp}; \mu_{humid}; \mu_{moist}; \sigma_{temp}; \sigma_{humid}; \sigma_{moist}; H] \text{ for the fixed effects,}$$

525

$$E[\varepsilon | X] = 0$$

526

$$\text{and } Cov[\varepsilon | X] = \Omega \text{ for random effect.}$$

527

528

Parameter β of intercept and slopes is estimated based on the derivation of generalised least squares
529 (GLS) regression:

530

$$\beta = (X^T \Omega^{-1} X)^{-1} X^T \Omega^{-1} y.$$

531

The resulting phenotype accounted for the inter-strain covariance is computed as:

532

$$\hat{y} = \hat{\mu}_A \text{ or } \hat{\tau}_A = X\hat{\beta}.$$

533

534

The nlme (97) and ape (98) packages of R were used to compute the GLS. Correlation structure of the
534 residual Ω was specified as the Brownian motion process of evolution, by the function corBrownian of
535 the ape package (94).

536

537 Partitioning the effects of natural selection and neutral evolution on aggregation traits

538

Maximum likelihood population effects (MLPE) models were used to test for the relative roles of
539 neutral evolution (genetic drift, gene flow) and natural selection in shaping the observed variation in
540 aggregation behaviors. MLPEs are mixed effect models used to evaluate the relationship between two
541 or more pairwise distance matrices for all combinations of populations, including a population effect
542 to account for the nonindependence of pairwise distance comparisons (99). If aggregation behaviors
543 are the result of natural selection from local environmental conditions, an association between
544 phenotypic variation and environmental variation is expected to be observed after controlling for the
545 effects of genetics and geography, reflecting that populations are more phenotypically similar if they
546 come from similar habitats, regardless of their genetic or geographic proximity (100). If aggregation
547 behaviors have been shaped by neutral processes, phenotypic distance should show a significant
548 association with geographic and/or genetic distances, indicating that populations that are closer
549 together or genetically more similar are more phenotypically similar. We consider this scenario simply
550 as “neutral evolution,” because phenotypic similarity could arise from gene flow between
551 geographically proximate populations as well as neutral evolution resulting from genetic drift between
552 populations geographically isolated from each other (100).

553

Pairwise phenotypic distances between populations were estimated separately for both aggregation
554 magnitude and temporal persistence. Each trait was standardized via z-score transformation with the
555 scale function in base R before calculating the Euclidean distances between every pairwise population
556 combination using the population mean trait value with the dist function from the stats package (101).

557

Pairwise Euclidean distances between standardized elevation values for each collection site were
558 calculated in the same manner. Pairwise geographic distances between each collection site were
559 calculated as the geodesic distance in kilometers between coordinates with the geodist package (102).

560

To facilitate comparisons with the other distance matrices, geographic distances were scaled by
561 dividing each pairwise value by the maximum distance in our matrix. Genome-wide genotype data
562 from the 20250625 CaenDR (54) release was used to estimate genetic distances between populations.
563 Specifically, the hard-filtered variants with imputed missing genotypes

564

([WL20250625.impute.isotype.vcf.gz](#)) were downloaded for the 190 isolines with complete phenotype
565 and environmental data. To obtain a set of putatively neutral SNPs across the genome, bcftools
566 (v.1.23; (103)) was used to remove all SNPs found within hyper-divergent regions in the *C. elegans*

567

genome (39), as these regions are characterized by extreme sequence divergence between strains and
568 are enriched for genes hypothesized to play a role in local adaptation (104). This SNP set was further

569 pruned by removing sites in linkage disequilibrium using PLINK (v1.9; (105)), using the
570 --indep-pairwise command to identify and prune variants with an r^2 value greater than 0.2 in 50 kbp
571 windows, sliding forward 5 variants after each pruning step and repeating. Filtering and pruning
572 resulted in a final VCF file containing 255,853 biallelic markers, which was used to estimate pairwise
573 genetic distances between all population pairs calculated as the proportion of nucleotide sites at which
574 the two genomes differ (p -distance) using VCF2Dis (v1.55; (106)). To assess the role of selection and
575 neutral evolution in shaping aggregation behavior, MLPE models were fit using corMLPE
576 (<https://github.com/nspope/corMLPE>) and the *nlme* package in R (97, 107), and the best fit model was
577 selected based on the Akaike Information Criterion corrected for finite sample size (AICc) using the
578 *MuMIn* R package (108). First, the genetic distance matrix was confirmed to be representative of
579 neutral genetic variation among populations by fitting models using the pairwise genetic distance
580 estimates as a response variable with either the elevation distance matrix, geographic distance matrix,
581 or both matrices as fixed effects and population pairs modeled as random effects. To test the
582 relationship between behavioral distances, natural selection, and neutral evolution, models were
583 separately fit with either aggregation spatial magnitude or temporal persistence as a response variable
584 and genetic distance as an explanatory variable, with population pairs included as random effects.
585 Additional explanatory variables were added to this model to determine their relative effects, fitting
586 models with either elevational distance, geographic distance, or both together.

587

588 Heritability estimation

589 Broad-sense heritability (H^2) and narrow-sense heritability (h^2) were estimated from a subset of 12
590 genetically diverse strains (N2, CB4856, CX11314, DL238, ED3017, EG4725, JT11398, JU258,
591 JU775, LKC34, MY16, MY23) (54) to assess the respective strain-group or additive genetic effect on
592 the behavioral traits. The linear mixed model is,

593
$$y_{ij} = m + u_i + \varepsilon_{ij}, \text{ where}$$

594
$$m = \langle y_{ij} \rangle \text{ for the fixed effect,}$$

595
$$u \sim N(0, M\sigma_u^2) \text{ for the random effect,}$$

596
$$\varepsilon \sim N(0, I\sigma_\varepsilon^2) \text{ for the residuals,}$$

597 and $y_i = [y_{i1} \ \dots \ y_{ij}]$, $\varepsilon_i = [\varepsilon_{i1} \ \dots \ \varepsilon_{ij}]$, for i = strain number (n), and j = replicate number. The R

598 sommer package was used to estimate σ_u^2 and σ_ε^2 by maximizing the log likelihood function computed

599 based on the Restricted Maximum Likelihood (REML) of the Y distribution (109). Heritability, $\frac{\sigma_u^2}{\sigma_u^2 + \sigma_\varepsilon^2}$,

600 captures the ratio of strain or additive genetic variance over total phenotypic variance. The model is
601 inferred with $M=I$ (the identity matrix) for H^2 and $M=A$ for h^2 . The additive genetic relations matrix

602 was constructed using the Van Raden (110) method, $A = \frac{MM^T}{\sum 2p_i q_i}$, where the covariance of the

603 genotypes among strains (MM^T) at different SNPs is normalized by allele frequency (p_i = frequency

604 of allele 1 at locus i and q_i = frequency of allele 2 at locus i) (54, 111, 112).

605 The code for estimating the heritability was adapted from the CaeNDR heritability calculation

606 (https://github.com/AndersenLab/calc_heritability.git). Main dependencies include the sommer

607 package (113) of R and bcftools (114) to generate the genotype matrix from the genome sequence of
608 the strains (see Code Availability).

609

610 Genome wide association analysis

611 To find genetic correlates of the aggregation traits, GWAS analyses were performed to assess the

612 associations between variation in phenotypic traits and naturally occurring genetic variation among

613 the wild strains (115, 116). The NemaScan pipeline implemented by CaeNDR was used with default

614 parameters; the pipeline accounts for the specific genetic architecture of the species that could bias the

615 mapping performance, such as the recent genome-wide selective sweep in *C. elegans* and population

616 stratification among self-fertilizing populations (64). The pipeline scans through the chromosomes and
617 prunes genetic variants with high linkage disequilibrium in 50 Kb windows, then computes the
618 associations between retained genetic variants and phenotypic values (64). If a variant with high
619 associations indicative of a Quantitative Trait Locus (QTL) is returned, subsequent fine mapping
620 specifies the associations of all single nucleotide polymorphism markers within the QTL region (64)
621 to the trait. Fine-mapped variant markers had 250-600 bp intervals on average. The two mapping
622 algorithms of NemaScan consists of two kinship matrix formulations: a leave-one-chromosome-out
623 (LOCO) and the ‘INBRED’ approach that specifically corrects for inbred organisms (64) such as the
624 self-fertilizing *C. elegans*. Both mappings attempt to correct for different types of population structure
625 found in *C. elegans* (64). For the significance threshold, two metrics were reported: a Bonferroni score
626 correcting for the total number of all tested variants, and an ‘EIGEN’ score that performs Bonferroni
627 correction with the number of tests determined by the eigendecomposition of the genetic variants (64).
628 Input data such as the hardfiltered SNPs, haplotypes, and the hyper-divergent regions of the strains
629 were downloaded from the 20250625 CaeNDR release
630 (<https://www.elegansvariation.org/data/release/20250625>). Four strains from the global panel
631 (ECA252, JU1580, LSJ1, QX1233) were missing from this data.
632 To scrutinize the effect of population structure on GWAS significance, outlier strains were
633 additionally pruned following detection by smartPCA implemented in the *smartsnp* package of R
634 (117), which allows centering and z-score standardization on genotype matrix to correct for genetic
635 drift and population structure (117, 118). GWAS was rerun after excluding the 10 outlier strains above
636 the 97.45% Mahalanobis threshold, and the results were confirmed to maintain the same overall
637 pattern (Fig S5, S7).

638

639 **QTL variance estimation**

640 The variance explained by the QTL was estimated as the ratio of QTL variance over total phenotypic
641 variance, $\frac{\sigma_u^2}{\sigma_u^2 + \sigma_v^2 + \sigma_\varepsilon^2}$ (119, 120). The linear mixed model that estimates QTL variance separately from
642 the rest of the additive genetic effect was used:

643

644

$$y = X\beta + Zu + Zv + \varepsilon, \text{ where}$$

645

$$m = \langle y \rangle \text{ for the fixed effect,}$$

646

$$u \sim N(0, A\sigma_u^2) \text{ for the QTL random effect,}$$

647

$$v \sim N(0, Q\sigma_v^2) \text{ for the additive genetic effect,}$$

648

$$\varepsilon \sim N(0, I\sigma_\varepsilon^2) \text{ for the residuals.}$$

649 Genetic relations matrix for the QTL region was constructed using the same Van Raden method

650 $A = \frac{MM^T}{\sum p_i q_i}$ (110), but only among the SNPs within the QTL. Similarly, the genetic relations matrix Q

651 was computed as the covariance of the genotypes among strains excluding the SNPs within the QTL
652 (111, 112, 120).

653

654

1 Data Availability

2 Datasets used in this study will be available at Zenodo upon publication
3 (<https://zenodo.org/uploads/14937989>), which includes original tracking data from the experiments in
4 this study, climate data obtained from Climate Data Store, information on the natural habitats of the
5 wild *C. elegans* strains, and phylogenetic information from CaenDR.

6

7 Code Availability

8 Code for analyses and generating figures are deposited on Github
9 (https://github.com/SerenaDingLab/Kang_et_al_AggEvol25).

10

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25

26 Author Contributions

27 Y. J. K., A.E.X.B. and S. S. D. conceptualized the project; S. S. D. and P. S. performed the
28 experiments; Y. J. K., A. C. C. and A.P. analyzed the behavior data; Y. J. K. and R. G. analyzed the
29 genetic data; Y. J. K. prepared the initial manuscript; Y. J. K., A. C. C., R. G., A. P., A.E.X.B. and S.
30 S. D. reviewed and edited the manuscript; S. S. D. and A.E.X.B. supervised the project and acquired
31 funding.

32

33 Declaration of interests

34 The authors declare no competing interests.

35

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37

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